

# The Cauchy Integral in $\mathbb{C}^n$

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- ▶ Develop  $L^p$ -theory of Singular Integrals on

$$D \Subset \mathbb{C}^n$$

- ▶ for  $D$  with **minimal boundary regularity**
- ▶ modeled after **Cauchy Integral for a Lipschitz Curve  $\Gamma \subset \mathbb{C}$** :

$$\mathbf{H}f(z) = \frac{1}{2\pi i} \int_{w \in \Gamma} f(w) \frac{dw}{w - z}, \quad z \in D$$

$z \in bD$ : Calderon (1978); Coifman-McIntosh-Meyer (1980s);  
David (1980s); David-Semmes (1990s); Semmes (1990s).

Also: Jones; Wolfe; Melnikov-Verdera; Nazarov-Volberg-Treil;  
Tolsa;....

- ▶ Focus on Singular Integrals with **Holomorphic** kernel
- ▶ Applications to **Complex Function theory for  $D \Subset \mathbb{C}^n$**

# Complex Function Theory: Objects

$$D \subseteq \mathbb{C}^n = \{z = (z_1, \dots, z_n), z_j = x_j + iy_j\}, \quad n \geq 1$$

$$\vartheta(D) := \left\{ F : D \rightarrow \mathbb{C}, \quad \frac{\partial F}{\partial \bar{z}_j} := \left( \frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right) F = 0, \quad j = 1, \dots, n \right\}$$

$$1 \leq p < +\infty$$

► **Bergman Space**

$$\vartheta L^p(D) := \left\{ F \in \vartheta(D), \quad \int_{w \in D} |F(w)|^p dV(w) < +\infty \right\}$$

►  $D$  rectifiable: **Hardy Space** (aka **Smirnov Class**)

$$H^p(bD) := \left\{ F \in \vartheta(D), \quad \sup_{\epsilon > 0} \int_{w \in bD_\epsilon} |F(w)|^p d\sigma_\epsilon(w) < +\infty \right\}$$

## Lemma

### *The Bergman Space*

$$\mathfrak{A}L^p(D) = \left\{ F \in \mathfrak{A}(D), \int_{w \in D} |F(w)|^p dV(w) < +\infty \right\}$$

is a *closed subspace* of  $L^p(D, dV)$ .

### Proof.

Cauchy formula on (poly)disc:

for any compact subset  $\mathcal{K} \subset D$ , for any  $F \in \mathfrak{A}(D)$ , for any  $z \in \mathcal{K}$ :

$$|F(z)| \leq C(\mathcal{K}) \|F\|_{L^1(\mathbb{P}(z, \delta))} \leq C(\mathcal{K}) \|F\|_{L^p(D)}$$

$$\delta := \frac{1}{2} \text{dist}(\mathcal{K}, bD)$$



## Lemma

*The Hardy Space*

$$H^p(bD) := \left\{ F \in \mathcal{O}(D), \sup_{\epsilon > 0} \int_{w \in bD_\epsilon} |F(w)|^p d\sigma_\epsilon(w) < +\infty \right\}$$

is a *closed subspace* of  $L^p(bD, \sigma)$ .

*Proof.*

Cauchy formula on polydisc + Co-Area Formula:

$$\begin{aligned} |F(z)| &\leq C(\mathcal{K}) \|F\|_{L^p(\mathbb{P}(z, \delta))} \leq C(\mathcal{K}) \|F\|_{L^p(D_\epsilon)} \\ &\leq \tilde{C}(\mathcal{K}) \sup_{\epsilon > 0} \left( \int_{w \in bD_\epsilon} |F(w)|^p d\sigma_\epsilon(w) \right)^{1/p} \end{aligned}$$

for any compact subset  $\mathcal{K} \subset D$ , for any  $F \in \mathcal{O}(D)$ , for any  $z \in \mathcal{K}$ . □

$$D \in \mathbb{C}^n, \quad n \geq 1; \quad \rho = 2$$

► **Bergman Projection:**

$$\mathbf{B} : L^2(D, dV) \rightarrow \mathcal{O}L^2(D), \quad \mathbf{B}^2 = \mathbf{B}, \quad \mathbf{B}^* = \mathbf{B}, \quad \|\mathbf{B}\|_{L^2 \rightarrow L^2} = 1$$

► **Szegő Projection:**

$$\mathbf{S} : L^2(bD, \sigma) \rightarrow H^2(bD), \quad \mathbf{S}^2 = \mathbf{S}, \quad \mathbf{S}^* = \mathbf{S}, \quad \|\mathbf{S}\|_{L^2 \rightarrow L^2} = 1$$

# Complex Function theory for $D \in \mathbb{C}^n$ : Objectives

given  $D \in \mathbb{C}^n$ ,  $n \geq 1$

$L^q$ -Regularity problem for Szegő Projection:

**find (largest)**  $Q = Q(D) \in [2, +\infty]$  such that

$S : L^q(bD, \sigma) \rightarrow L^q(bD, \sigma)$  is **bounded** for  $Q' < q < Q$

$L^p$ -Regularity problem for Bergman Projection:

**find (largest)**  $P = P(D) \in [2, +\infty]$  such that

$B : L^p(D, dV) \rightarrow L^p(D, dV)$  is **bounded for**  $P' < p < P$



- ▶ **Hörmander; Kohn:** The Bergman Projection is closely related to the canonical solution of

$$\bar{\partial}u = f$$

- ▶ **Bell** ( $n=1$ ): The Szegő projection is closely related to the solution of

$$\Delta u = 0, \quad u \Big|_{bD} = f$$

- ▶ **Size** of each of maximal  $(P', P)$  and  $(Q', Q)$  appears to be related to **geometry and regularity** of ambient domain  $D$

## Focus on Bergman:

$L^p$ -Regularity problem for

$$\mathbf{B} : L^2(D, dV) \rightarrow \vartheta L^2(D, dV), \quad \mathbf{B}^2 = \mathbf{B}, \quad \mathbf{B}^* = \mathbf{B}, \quad \|\mathbf{B}\|_{L^2 \rightarrow L^2} = 1$$

$n = 1$      $D \in \mathbb{C}$  simply connected

► L.L. – Stein (2004):

► If  $D$  is of class  $C^1$ , then  $P = +\infty$

(Also true for **Vanishing Chord-Arc**)

(“VCA”  $\iff \sigma(W, Z) = (1 + o(1))|W - Z|$ ,  $W, Z \in bD$ )

► If  $D$  **Lipschitz with constant  $M$** , then

$$P \geq 2 \left( 1 + \frac{\pi}{2 \arctan M} \right) > 4$$

► If  $D$  **rectifiable local graph**, then  $P \geq 4$

► Hedenmalm (2002):  $P = 2 + \epsilon(D)$  for **any**  $D$

# Main tools in $\mathbb{C}$ ( $n=1$ )

$D \subset \mathbb{C}$  simply connected

- ▶  $D = \{\rho(w) < 0\} \in C^1$ :

**Solid Cauchy:** 
$$\mathbf{H}f(z) = \frac{1}{2\pi i} \int_{w \in D} f(w) \frac{\bar{\partial} \rho(w) \wedge dw}{[w - z - \rho(w)]^2} \quad z \in D$$

- ▶  $D \in \{ \text{Lipschitz; Rectifiable graph; "any"} \}$ :

**Conformal Map:**  $\varphi : \mathbb{D}_1(0) \rightarrow D$

# Obstacles in $\mathbb{C}^n$ $n \geq 2$

Example: a dimension-induced phenomenon:

*“Every connected open set  $S \subset X$  is convex”*

- ▶ True for  $X = \mathbb{R}$
- ▶ **False** for  $X = \mathbb{R}^N$ ,  $N \geq 2$

The  $L^p$ -theory for the Bergman projection for  $D \Subset \mathbb{C}^n$ ,  $n \geq 2$  is much less developed than corresponding theory for  $D \Subset \mathbb{C}$  due to

- ▶ **Dimension**-induced obstructions ( $\mathbb{C}^n$  vs.  $\mathbb{C}$ )
- ▶ **Complex-Structure**-induced obstructions ( $\mathbb{C}^n$  vs.  $\mathbb{R}^{2n}$ )

These obstructions ultimately lead to the requirement that

$D \Subset \mathbb{C}^n$  be “**pseudoconvex**”

(Note: every  $D \Subset \mathbb{C}$  is pseudoconvex)

Definition:

$D = \{\lambda(w) < 0\} \in \mathbb{C}^n$  is **Strongly Levi-Pseudoconvex** iff  
 $D$  is of class  $C^2$ , i.e.  $\lambda \in C^2(\mathbb{C}^n)$  and

$$\sum_{j,k=1}^n \frac{\partial^2 \lambda}{\partial \zeta_j \partial \bar{\zeta}_k}(\zeta) v_j \bar{v}_k \geq c_0 |v|^2, \quad \zeta \in bD, \quad v \in T_\zeta^{\mathbb{C}}(bD)$$

for any defining function  $\lambda$ . Here

$$T_\zeta^{\mathbb{C}}(bD) = T_\zeta^{\mathbb{R}}(bD) \cap iT_\zeta^{\mathbb{R}}(bD)$$

**Fact:** If  $D$  is strongly Levi-pscvx then there is a special defining function  $\rho$  that is **strictly plurisubharmonic in  $D$**  i.e.

$$\sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial \zeta_j \partial \bar{\zeta}_k}(\zeta) v_j \bar{v}_k \geq c_0 |v|^2, \quad \zeta \in bD, \quad v \in \mathbb{C}^n$$

**Example:** the Siegel Upper Half Space:

$$D := \{z = (z_1, z_2) \in \mathbb{C}^2 \mid \operatorname{Im} z_2 < |z_1|^2\}$$

is strongly Levi-pseudoconvex but is not strongly convex because  $\partial D$  contains the real line

$$\{(0, x_2 + i0), x_2 \in \mathbb{R}\}$$

Bekolle'-Bonami; Bell; Bonami-Lohoue'; Charpentier-DuPain;  
Fefferman; Halfpap-Nagel-Wainger; Krantz-Peloso; McNeal;  
McNeal-Stein; Nagel-Pramanik; Nagel-Rosay-Stein-Wainger;  
Phong-Stein.....

- ▶ Ligocka (1982): If  $D$  is strongly Levi-pseudoconvex and  $D \in \mathcal{C}^3$ , then:

**B** is bounded :  $L^p \rightarrow L^p$  for  $1 < p < +\infty$

- ▶ Zeytuncu (2011): there is  $D_0 \in \mathcal{C}^2$  such that

**B** is bounded :  $L^p \rightarrow L^p$  only for  $p = 2$

(!!! see Hedenmalm ( $n = 1$ )!!!)



## Focus on Ligocka (1982):

If  $D$  is strongly Levi-pseudoconvex and  $D \in C^3$ , then

$\mathbf{B}$  is bounded :  $L^p \rightarrow L^p$  for  $1 < p < +\infty$

# Ligocka's Strategy for $\mathbf{B}$ , $n \geq 1$

Compare  $\mathbf{B}$  with suitable **Cauchy-Fantappie' Integral  $\mathbf{H}$** :

$$\begin{aligned}\mathbf{B} &: L^2(D, dV) \rightarrow \mathcal{D}'L^2(D), & \mathbf{B}^2 &= \mathbf{B}, & \mathbf{B}^* &= \mathbf{B} \\ \mathbf{H} &: L^2(D, dV) \rightarrow \mathcal{D}'L^2(D), & \mathbf{H}^2 &= \mathbf{H}, & (\mathbf{H}^* &\neq \mathbf{H}): \end{aligned}$$

$$\mathbf{BH} = \mathbf{H}$$

$$\mathbf{HB} = \mathbf{B} \quad \Rightarrow \quad \mathbf{BH}^* = \mathbf{B}$$

$$\mathbf{H} = \mathbf{B} [1 - (\mathbf{H}^* - \mathbf{H})]$$

$$\mathbf{A} := \mathbf{H}^* - \mathbf{H}$$

Goal:

1. Prove  $\mathbf{H}$  bounded:  $L^p(D, dV) \rightarrow L^p(D, dV)$ ,  $1 < p < \infty$
2. Invert  $1 - \mathbf{A}$  in  $L^p(D, dV)$ 
  - ▶ conclude  $\mathbf{B} = \mathbf{H} (1 - \mathbf{A})^{-1}$  is bounded  $L^p \rightarrow L^p$

**Note:** this strategy requires  $\mathbf{H}$  with **holomorphic** kernel

## Obstacles in $\mathbb{C}^n$ , $n \geq 2$ .

- ▶ “Canonical” Kernel for  $D = \{\rho(w) < 0\}$ :

$$H(w, z) = \left( \bar{\partial}_w \left( \sum_{j=1}^n \frac{(\bar{w}_j - \bar{z}_j)}{|w - z|^2 - \rho(w)} dw_j \right) \right)^n$$

### Bochner-Martinelli-Ligočka kernel

- ▶  $n = 1$ :  $H(w, z) = \bar{\partial}_w \left( \frac{dw}{w - z - \rho(w)} \right)$  **Cauchy-Ligočka**
- ▶  $n \geq 2$ : **B-M-L not holomorphic w.r.t.  $z \in D$ :**  
**need ad-hoc H**

# Classical Approach to implementing Ligocka's Strategy

$$n \geq 2 \quad D \in \mathbb{C}^n$$

- ▶ Find **ad-hoc**  $\mathbf{H} : L^2(D, dV) \rightarrow \mathcal{D}L^2(D)$ ,  $\mathbf{H}^2 = \mathbf{H}$
- ▶ Show:  $\mathbf{H}$  bounded  $L^p(D, dV) \rightarrow L^p(D, dV)$ ,  $1 < p < \infty$
- ▶ Show:  $\mathbf{A} := \mathbf{H}^* - \mathbf{H}$  **compact** in  $L^2(D, dV)$ ;
- ▶ Obtain:  $\mathbf{B} = \mathbf{H}(1 - \mathbf{A})^{-1}$  in  $L^2(D, dV)$
- ▶ Conclude:  $\mathbf{B} : L^p(D, dV) \rightarrow L^p(D, dV)$ ,  $1 < p < \infty$

Ligocka:  $D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathcal{C}^3$

Step 1: Construct ad-hoc  $\mathbf{H}$ : an integral operator with kernel

$$H(w, z) := H_1(w, z) + H_2(w, z) \in \Lambda_{n,n}^{(w)}(D) \times \Lambda_{0,0}^{(z)}(D)$$

- ▶  $H_1(w, z) =$  **locally** holomorphic w.r.t.  $z$
- ▶  $H_2(w, z) \in C(\overline{D} \times \overline{D})$  a **correction term** s.t.

$$\bar{\partial}_z(H_1(w, z) + H_2(w, z)) = 0, \quad (w, z) \in D \times U(\overline{D})$$

( $\mathbf{H}_2 : L^p \rightarrow L^p$ ;  $\mathbf{H}_2^* - \mathbf{H}_2$  compact on  $L^2$  ... – so **ignore**)

Ligocka:  $D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathbb{C}^3$

Step 1: Construction of  $H_1(w, z)$ :

**Let**

▶  $D = \{\rho(w) < 0\}$ ,  $\rho \in C^3(\mathbb{C}^n)$  **strictly plurisubharmonic**.

▶  $\mathcal{P}_w(z) :=$  Levi polynomial of  $\rho$ :

$$\mathcal{P}_w(z) := \sum_j \frac{\partial \rho(w)}{\partial \zeta_j} (w_j - z_j) - \frac{1}{2} \sum_{j,k} \frac{\partial^2 \rho(w)}{\partial \zeta_j \partial \zeta_k} (w_j - z_j)(w_k - z_k)$$

▶  $\chi(w, z) = \chi(|w - z|)$  smooth cutoff on  $\{|w - z| < \mu\}$

▶  $g(w, z) := \mathcal{P}_w(z)\chi(w, z) + |w - z|^2(1 - \chi(w, z)) - \rho(w)$

Ligocka:  $D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D = \{\rho < 0\} \in \mathcal{C}^3$

If

$$g(w, z) := \mathcal{P}_w(z)\chi(w, z) + |w - z|^2(1 - \chi(w, z)) - \rho(w)$$

Then

► **basic inequality:**

$$2\operatorname{Re} g(w, z) \geq \begin{cases} -\rho(w) - \rho(z) + c|w - z|^2, & \text{if } |w - z| < \mu \\ c > 0, & \text{if } |w - z| \geq \mu \end{cases}$$

► **size estimate:**

$$|g(w, z)| \approx |\rho(w)| + |\rho(z)| + |\operatorname{Im}\langle \partial\rho(w), w - z \rangle| + |w - z|^2$$

► **symmetry estimate:**  $|g(w, z)| \approx |g(z, w)|$

► **cancellation:**  $|g(w, z) - \overline{g(z, w)}| \lesssim |w - z|^3$

Ligocka:  $D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D = \{\rho < 0\} \in C^3$

Step 1: Construction of  $H_1(w, z)$ :

$$\blacktriangleright H_1(w, z) := \left[ \bar{\partial}_w \left( \frac{\eta(w, z)}{g(w, z)} \right) \right]^n$$

$$\blacktriangleright \eta(w, z) :=$$

$$\sum_j \left[ \left( \frac{\partial \rho(w)}{\partial \zeta_j} - \frac{1}{2} \sum_k \frac{\partial^2 \rho(w)}{\partial \zeta_j \partial \zeta_k} (w_k - z_k) \right) \chi + (\bar{w}_j - \bar{z}_j)(1 - \chi) \right] dw_j$$

$$\mathbf{H}_1 f(z) := \int_{w \in D} f(w) \left[ \bar{\partial}_w \left( \frac{\eta(w, z)}{g(w, z)} \right) \right]^n$$



Ligocka:  $D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathbb{C}^3$

Step 2: show  $\mathbf{H}_1$  bounded:  $L^p(D, dV) \rightarrow L^p(D, dV)$

► Comparison operator:

$$\Gamma(f)(z) = \int_D |g(w, z)|^{-n-1} f(w) dV(w), \quad z \in D$$

► **Theorem**: For  $1 < p < \infty$ , we have

$$\|\Gamma(f)\|_{L^p(D)} \leq c_p \|f\|_{L^p(D)}$$

**Proof**: size estimates and symmetry estimates for  $g$ .  $\square$

► **Theorem**:  $\mathbf{H}_1$  is bounded:  $L^p \rightarrow L^p$ ,  $1 < p < \infty$

**Proof**:  $|\mathbf{H}_1 f(z)| \lesssim \Gamma(|f|)(z)$ ,  $z \in D$   $\square$

Ligocka:  $D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathcal{C}^3$

Step 3: show  $\mathbf{A}_1 := \mathbf{H}_1^* - \mathbf{H}_1$  compact in  $L^2(D, dV)$ .

- ▶ **Proof: Cancellation:** If  $|w - z| \leq \mu$  then

$$|A_1(w, z)| = |\overline{H_1(z, w)} - H_1(w, z)| \lesssim \frac{|w - z|}{|g(w, z)|^{n+1}}$$

- ▶ **Size estimates:**

$$\frac{|w - z|}{|g(w, z)|^{n+1}} \lesssim \frac{1}{|g(w, z)|^{n+1-1/2}}$$

- ▶  $\mathbf{A}_{1,\lambda} f(z) := \int_{|g(w,z)| > \lambda} \frac{f(w)}{|g(w, z)|^{n+1-1/2}} dV(w), \quad \lambda < \mu$

- ▶  $\mathbf{A}_{1,\lambda}$  is compact in  $L^2(D, dV)$  for any  $\lambda > 0$ .

- ▶  $\|\mathbf{A}_1 - \mathbf{A}_{1,\lambda}\|_{L^2 \rightarrow L^2} \lesssim \lambda^{1/2}$

- ▶  $\mathbf{A}_{1,\lambda} \rightarrow \mathbf{A}_1$  as  $\lambda \rightarrow 0$  □

Ligocka: Why  $D \in C^3$ ?

- ▶  $\eta$  has  $\frac{\partial^2 \rho}{\partial \zeta_i \partial \zeta_j}(w)$  (so  $D \in C^2$  would seem OK), but
- ▶  $H_1(w, z) \approx (\bar{\partial}_w \eta)^n$  uses **three** derivatives of  $\rho$ .
- ▶ **Cancellation**:  $|g(w, z) - \overline{g(z, w)}| \lesssim |w - z|^3$  uses  $\rho \in C^3$ .

**Our current goal: deal with  $D \in C^2$**

$D \in C^2$  is **optimal** (minimal) in **strongly Levi-pseudconvex category**

( $D$  strongly Levi-pseudconvex  $\iff D \in C^2$  **and** strongly pseudoconvex)

$D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in C^2$

$$\text{Bergman : } \mathbf{B}f(z) = \int_{w \in D} f(w) B(w, z) dV(w) \quad z \in D$$

$$\text{Absolute Bergman : } |\mathbf{B}|f(z) := \int_{w \in D} f(w) |B(w, z)| dV(w) \quad z \in D$$

- ▶ Theorem (L. - Stein, 2011)  $\mathbf{B}$  bdd:  $L^p \rightarrow L^p$
- ▶ Theorem (L. - Stein, 2011):  $\overline{\vartheta(\overline{D})}^{L^p} = \vartheta L^p(D)$
- ▶ Theorem (L. - Stein, 2011) :  $|\mathbf{B}|$  bdd:  $L^p \rightarrow L^p$

$$1 < p < \infty$$

Also, analogous results for

$$B^\sigma : L_\sigma^2(D) \rightarrow \mathcal{H}L_\sigma^2(D), \quad (f, g)_\sigma := \int_{w \in D} f(w) \overline{g(w)} \sigma(w) dV(w)$$

$$\sigma \in C(\overline{D}), \quad \sigma(w) > 0, \quad w \in D$$

$D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathcal{C}^2$

A single  $\mathbf{H}$  will no longer do. Instead:

Step 1: Construct ad-hoc family  $\{\mathbf{H}_\epsilon\}_{\epsilon>0}$  with kernel

$$H_\epsilon(w, z) := H_{1,\epsilon}(w, z) + H_{2,\epsilon}(w, z) \in \Lambda_{n,n}^{(w)}(D) \times \Lambda_{0,0}^{(z)}(D)$$

- ▶  $H_{1,\epsilon}(w, z) = \left( \bar{\partial}_w \left( \frac{\eta_\epsilon}{g_\epsilon} \right) \right)^n$  locally holomorphic w.r.t.  $z$
- ▶  $H_{2,\epsilon}(w, z) \in C(\bar{D} \times \bar{D})$  a correction term s.t.

$$\bar{\partial}_z(H_{1,\epsilon}(w, z) + H_{2,\epsilon}(w, z)) = 0, \quad \epsilon > 0, \quad z \in U_w(\bar{D}), \quad w \in D$$

$(\mathbf{H}_{2,\epsilon} : L^p \rightarrow L^p; \quad \mathbf{H}_{2,\epsilon}^* - \mathbf{H}_{2,\epsilon}$  compact on  $L^2$  – so ignore)

$D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathcal{C}^2$

Step 1: Construction of  $H_{1,\epsilon}(w, z)$ :

**Let**

- ▶  $D = \{\rho(w) < 0\}$ ,  $\rho \in \mathcal{C}^2(\mathbb{C}^n)$  strictly plurisubharmonic.
- ▶ Given  $\epsilon > 0$  choose  $\tau_{j,k}^\epsilon \in \mathcal{C}^2(\bar{D})$  s.t.

$$\sup_{w \in \bar{D}} \left| \frac{\partial^2 \rho(w)}{\partial \zeta_j \partial \zeta_k} - \tau_{j,k}^\epsilon(w) \right| \leq \epsilon \quad \text{for all } 1 \leq j, k \leq n$$

Use  $\tau_{j,k}^\epsilon(w)$  in place of  $\frac{\partial^2 \rho(w)}{\partial \zeta_j \partial \zeta_k}$

- ▶  $H_{1,\epsilon}(w, z) := \left( \bar{\partial}_w \left( \frac{\eta_\epsilon(w, z)}{g_\epsilon(w, z)} \right) \right)^n$

$D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in C^2$

If

$$g_\epsilon(w, z) := \mathcal{P}_{w, \epsilon}(z) \chi(w, z) + |w - z|^2 (1 - \chi(w, z)) - \rho(w)$$

Then for any  $0 < \epsilon < \epsilon_0$

► **basic inequality:**

$$2\operatorname{Re} g_\epsilon(w, z) \geq \begin{cases} -\rho(w) - \rho(z) + c|w - z|^2, & \text{if } |w - z| < \mu \\ c > 0, & \text{if } |w - z| \geq \mu \end{cases}$$

► **size estimate:**

$$|g_\epsilon(w, z)| \approx |\rho(w)| + |\rho(z)| + |\operatorname{Im} \langle \partial \rho(w), w - z \rangle| + |w - z|^2$$

► **symmetry estimate:**  $|g_\epsilon(w, z)| \approx |g_\epsilon(z, w)|$

► **cancellation:**  $|g_\epsilon(w, z) - \overline{g_\epsilon(z, w)}| \leq c\epsilon |w - z|^2$



$D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in C^2$

Step 2: show  $\mathbf{H}_{1,\epsilon}$  bounded:  $L^p(D, dV) \rightarrow L^p(D, dV)$  unif. in  $\epsilon$

► Comparison operators:

$$\Gamma_\epsilon(f)(z) = \int_D |g_\epsilon(w, z)|^{-n-1} f(w) dV(w), \quad z \in D$$

► **Theorem**: For  $1 < p < \infty$ , **for any**  $0 < \epsilon < \epsilon_0$  we have

$$\|\Gamma_\epsilon(f)\|_{L^p(D)} \leq c_p \|f\|_{L^p(D)}$$

**Proof**: size estimates and symmetry estimates for  $g_\epsilon$ . □

► **Theorem**:  $\mathbf{H}_{1,\epsilon}$  is bounded:  $L^p \rightarrow L^p$ ,  $1 < p < \infty$

**Proof**:  $|\mathbf{H}_{1,\epsilon} f(z)| \lesssim \Gamma_\epsilon(|f|)(z)$ ,  $z \in D$  □

$D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathcal{C}^2$

**Note:**  $\mathbf{A}_{1,\epsilon} := \mathbf{H}_{1,\epsilon}^* - \mathbf{H}_{1,\epsilon}$  **fails** compactness criteria for  $L^2(D, dV)$ .

- ▶ **Proof:** Cancellation: if  $|w - z| \leq \mu$  then

$$|\mathbf{A}_{1,\epsilon}(w, z)| = |\overline{H_{1,\epsilon}(z, w)} - H_{1,\epsilon}(w, z)| \lesssim \frac{\epsilon}{|g_\epsilon(w, z)|^{n+1}}$$

- ▶  $\mathbf{A}_{1,\epsilon,\lambda} f(z) := \int_{|g_\epsilon(w,z)| > \lambda} \frac{f(w)}{|g_\epsilon(w,z)|^{n+1}} dV(w), \quad \lambda < \mu$

- ▶  $\mathbf{A}_{1,\epsilon,\lambda}$  is compact in  $L^2(D, dV)$  for any  $\lambda > 0$ , **but**

- ▶  $\|\mathbf{A}_{1,\epsilon} - \mathbf{A}_{1,\epsilon,\lambda}\|_{L^2 \rightarrow L^2} \lesssim \lambda^0 = 1$

- ▶  $\mathbf{A}_{1,\epsilon,\lambda} \not\rightarrow \mathbf{A}_{1,\epsilon}$  as  $\lambda \rightarrow 0$  □

$D \in \mathbb{C}^n$ , strongly  $\psi$ -cvx,  $D \in \mathcal{C}^2$

What works instead:

**Lemma** For each  $\epsilon > 0$ , we have

$$\mathbf{H}_{1,\epsilon}^* - \mathbf{H}_{1,\epsilon} = \mathbf{E}_\epsilon + \mathbf{R}_\epsilon = \text{Essential Part} + \text{Remainder}$$

where

(a)  $\|\mathbf{E}_\epsilon\|_{L^p \rightarrow L^p} \leq \epsilon c_p$ , for  $1 < p < \infty$

(b) Each Remainder has continuous kernel on  $\bar{D} \times \bar{D}$  – hence

$$\mathbf{R}_\epsilon : L^1(D) \rightarrow C(\bar{D})$$

**Proof:**

- ▶ by cancellation:  $|E_\epsilon(w, z)| \lesssim \epsilon |g_\epsilon(w, z)|^{-n-1}$
- ▶  $|\mathbf{E}_\epsilon f(z)| \lesssim \epsilon \Gamma_\epsilon(|f|)(z)$  □

**Caveat:** the norm of  $\mathbf{R}_\epsilon$  may increase as  $\epsilon \rightarrow 0$

# $D \in \mathbb{C}^n$ strongly $\psi$ -cvx, $D \in C^2$ : Kerzman-Stein-Ligocka, revisited

**Theorem:**  $\mathbf{B}$  is bounded:  $L^p \rightarrow L^p$ ,  $1 < p < \infty$ .

**Proof:**

- ▶  $\mathbf{B}(1 - (\mathbf{H}_\epsilon^* - \mathbf{H}_\epsilon)) = \mathbf{H}_\epsilon$
- ▶  $\mathbf{H}_\epsilon^* - \mathbf{H}_\epsilon = \mathbf{E}_\epsilon + \mathbf{R}_\epsilon$
- ▶  $\mathbf{B}(1 - \mathbf{E}_\epsilon) = \mathbf{H}_\epsilon - \mathbf{B}\mathbf{R}_\epsilon$
- ▶ fix  $1 < p < \infty$ ; choose  $\epsilon = \epsilon(p)$  s.t.  $\|\mathbf{E}_\epsilon\|_{L^p \rightarrow L^p} < 1$ . Then
- ▶  $\mathbf{B} = (\mathbf{H}_\epsilon - \mathbf{B}\mathbf{R}_\epsilon)(1 - \mathbf{E}_\epsilon)^{-1}$
- ▶ Claim:  $\mathbf{B}\mathbf{R}_\epsilon : L^p \rightarrow L^p$ :
  - ▶ **Proof.** wlog:  $1 < p \leq 2$ . Then

$$L^p \hookrightarrow L^1 \rightarrow C(\overline{D}) \hookrightarrow L^\infty \hookrightarrow L^2 \rightarrow L^2 \hookrightarrow L^p \quad \square$$

# Absolute Bergman: Positive majorants.

- ▶ **Definition.**  $T$  is a bounded linear operator on  $L^p$ , we say that  $T$  has a **positive majorant**  $\widehat{T}$ , if  $\widehat{T}$  is bounded linear operator on  $L^p$  s.t.

$$\begin{cases} \widehat{T}(f) \geq 0 & \text{if } f \geq 0, \text{ and} \\ |T(f)(z)| \leq \widehat{T}(|f|)(z), & \text{for a.e. } z. \end{cases}$$

- ▶  $(\widehat{T_1 + T_2}) = \widehat{T_1} + \widehat{T_2}$
- ▶  $(\widehat{T_1 \circ T_2}) = \widehat{T_1} \circ \widehat{T_2}$
- ▶  $\|T_n - T\|_p \rightarrow 0$  and  $\|\widehat{T}_n - S\|_p \rightarrow 0 \Rightarrow S = \widehat{T}$
- ▶ All of these grant

$$(1 - \widehat{T})^{-1} = (1 - \widehat{T})^{-1}$$

- ▶ If  $T : L^p \rightarrow C(\overline{D})$  then  $T$  has a positive majorant.

# Absolute Bergman

- ▶  $\widehat{\mathbf{H}}_\epsilon = c\Gamma_\epsilon$
- ▶  $\widehat{\mathbf{H}}_\epsilon^* = c'\Gamma_\epsilon$
- ▶  $\widehat{\mathbf{E}}_\epsilon = c''\Gamma_\epsilon$
- ▶  $(1 - \widehat{\mathbf{E}}_\epsilon)^{-1} = (1 - \mathbf{E}_\epsilon)^{-1}$
- ▶  $\mathbf{BR}_\epsilon$  has a positive majorant.

As a result:  $\mathbf{B}$  has a positive majorant  $\widehat{\mathbf{B}}$

And so does  $|\mathbf{B}|$ :

$$\| |\mathbf{B}|(f)(z) \| \leq \mathcal{M}(\widehat{\mathbf{B}}(|f|))(z), \quad z \in D$$

$$\mathcal{M}(F)(z) := \frac{1}{V(\mathcal{B}_z)} \int_{\mathcal{B}_z} F(w) dV(w), \quad \mathcal{B}_z = \{ |w - z| < \frac{1}{2} d(z, bD) \}$$

So  $|\mathbf{B}|$  is bounded:  $L^p \rightarrow L^p$ ,  $1 < p < \infty$ .

# Density for Bergman Space.

Theorem  $\overline{\vartheta(\overline{D})}^{L^p} = \vartheta L^p(D)$

Proof

▶  $f \in \vartheta L^p(D) \Rightarrow \mathbf{H}_\epsilon(f) = f$

$$f_n(w) := \begin{cases} f(w) & \text{if } w \in D_{1/n} := \{\rho < -1/n\} \\ 0 & \text{if } w \in D \setminus D_{1/n} \end{cases}$$

▶  $\|f_n - f\|_p \rightarrow 0$

▶  $F_n := \mathbf{H}_\epsilon f_n \in \vartheta(D_{-1/n})$

▶  $D_{1/n} \Subset D \Subset D_{-1/n}$

▶  $\|F_n - f\|_p = \|\mathbf{H}_\epsilon(f_n - f)\|_p \lesssim \|f_n - f\|_p \rightarrow 0$

□

# Szegő Projection

Suppose

$D \Subset \mathbb{C}^n$  strongly Levi-pseudoconvex,  $D \in \mathcal{C}^2$

Then

1. Theorem (L. - Stein, 2011)  $\mathbf{S}$  bdd:  $L^p(bD) \rightarrow L^p(bD)$

2. Theorem (L. - Stein, 2011):  $\overline{\vartheta(\overline{D})}^{L^p} = H^p(bD)$

$$1 < p < \infty$$

- ▶ Proofs for  $\mathbf{S}$  are more difficult than proofs for  $\mathbf{B}$  because
- ▶ There is no comparison operator  $\Gamma$  that will work for  $\mathbf{S}$ .  
What works instead:
- ▶  $T(1)$ -theorem for suitable space of homogeneous type:  $\{bD; \mu; d\}$ .
- ▶ Also,  $|\mathbf{S}|$  **not** bounded:  $L^p(bD, d\sigma) \rightarrow L^p(bD, d\sigma)$ .